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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

The Application of Guthrie et al. **MAIL STOP ISSUE FEE**

Docket No. 52953.8

Art Unit: 1623

Serial No. 09/528,488

Examiner: H. Owens

Filed: March 17, 2000

For: Compositions and Methods of Treating, Reducing, and Preventing Cardiovascular Diseases and Disorders with Polymethoxyflavones

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

**REQUEST TO CHANGE INVENTORSHIP**

The undersigned hereby requests that Robert M. Horowitz (deceased) be added as an inventor of the above-identified application. The omission of Dr. Horowitz was an error without deceptive intention on his part as evidenced by the enclosed invention disclosure. An outside law firm initially handled the filing of the application and the error was not recognized until reviewing the application upon receipt of a Notice of Allowance. Please charge the processing fee of \$130 as set forth in 37 CFR 1.17(i) to deposit account number 50-2134.

12/27/2004 GWORDOF1-00000064-502134-09528488  
Adjustment date: 12/28/2004 GWORDOF2  
02/20/2004 GWORDOF1300000064-502134-09528488  
01 FC:1464-130.00 CR

12/28/2004 GWORDOF2 00000002 502134 09528488

01 FC:1808 130.00 DA

In the event this paper is deemed not timely filed, the undersigned petitions for an appropriate extension of time. Please charge any fees which may be required by this paper or at any time during prosecution of the instant application, or credit any overpayment, to deposit account 50-2134.

Respectfully Submitted

December 20, 2004  
DATE

Gail E. Poulos  
Gail E. Poulos, Patent Advisor  
Registration No. 36,327  
USDA-ARS-OTT  
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Beltsville, Maryland 20705-5131  
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cc:  
J. Fado  
R. Brenner  
J. Manthey  
N. Guthrie



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In Re the Application of Guthrie et al.

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Assistant Commissioner for Patents  
Washington, D.C. 20231

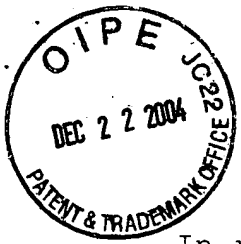
Dear Sir:

**Statement of Sylvia T. Horowitz Wife of and Trustee for Robert M. Horowitz (Deceased)**

I, Sylvia Horowitz, wife of and Trustee for Robert M. Horowitz (Deceased), hereby state that the error in inventorship occurred without deceptive intention with regard to Robert M. Horowitz.

11/1/04  
Date

  
Sylvia T. Horowitz



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of Guthrie et al.

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Assistant Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

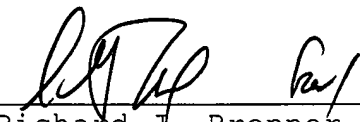
**CONSENT OF ASSIGNEE TO ADD NAMED INVENTOR**

The United States Government, as represented by the Secretary of Agriculture, of Washington D.C. 20205, the assignee of Patent application 09/528,488 filed March 17, 2003 in the names of Najla Guthrie, John A. Manthey, and Elizabeta M. Kurowska, hereby consents to the addition of Robert M. Horowitz (Deceased) as a named co-inventor in said patent application.

The United States Government

Date: 11/15/04

BY:

  
Richard J. Brenner  
Assistant Administrator

Docket No.

0093.00

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
**Compositions and Methods of Treating, Reducing, and Preventing Cardiovascular Diseases and Disorders with Polymethoxyflavones**

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on March 17, 2000 as United States Application No. or PCT International Application Number 09/528,488  
 and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, or plant breeder's rights certificate(s), or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

_____	_____
(Application Serial No.)	(Filing Date)
_____	_____
(Application Serial No.)	(Filing Date)
_____	_____
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

09/167,634	10/06/98	abandoned
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

Gail E. Poulos 36,327

John D. Fado 27,876

Randel Deck 34,078

Margaret Connor 30,043

David Nicholson 38,981

Evelyn M. Rabin 44,480


G. Byron Stover 34,737

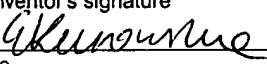
Curtis P. Ribando 27,976

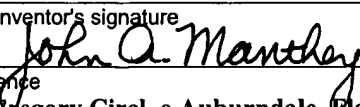
Lesley Shaw 52,214

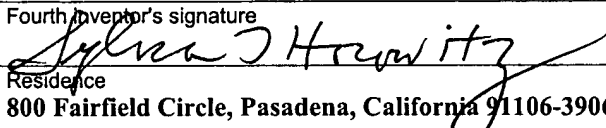
Send Correspondence to: Gail E. Poulos  
USDA, ARS, OTT  
5601 Sunnyside Ave. Rm. 4-1184  
Beltsville, Maryland 20705-5131

Direct Telephone Calls to: *(name and telephone number)*  
Gail E. Poulos 301-504-5302

Full name of sole or first inventor <b>Najla Guthrie</b>
Sole or first inventor's signature  Date <b>Dec 15/04</b>
Residence <b>389 Dundas Street, Suite 2401, London, Ontario, Canada N6B3L5</b>
Citizenship <b>Canadian</b>
Post Office Address <b>389 Dudas Street, Suite 2401, London, Ontario, Canada N6B3L5</b>

Full name of second inventor, if any <b>Elzabietta Maria Kurowska</b>
Second inventor's signature  Date <b>Dec. 15/04</b>
Residence <b>999 St. Croix Avenue, Ontario, Canada N6H3X8</b>
Citizenship <b>Canadian</b>
Post Office Address <b>999 St. Croix Avenue, Ontario, Canada N6H3X8</b>

Full name of third inventor, if any <b>John A. Manthey</b>	
Third inventor's signature 	Date <b>11/18/04</b>
Residence <b>104 Gregory Circle, Auburndale, Florida 33823</b>	
Citizenship <b>USA</b>	
Post Office Address <b>104 Gregory Circle, Auburndale, Florida 33823</b>	

Full name of fourth inventor, if any <b>Robert M. Horowitz (deceased) signed by Sylvia Horowitz</b>	
Fourth inventor's signature 	Date <b>11/1/04</b>
Residence <b>800 Fairfield Circle, Pasadena, California 91106-3906</b>	
Citizenship <b>USA</b>	
Post Office Address <b>800 Fairfield Circle, Pasadena, California 91106-3906</b>	

Full name of fifth inventor, if any	
Fifth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of sixth inventor, if any	
Sixth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	